



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,586	04/11/2001	Robert D. Johnson	1023.1122101



CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246

## CONFIRMATION NO. 1496 FORMALITIES LETTER



\*OC000000006084843\*

Date Mailed: 05/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/20/2001 BNGUYEN1 00000142 09832586

01 FC:105

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09836560 " 024304



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert D. Johnson

Serial No.: 09/832,586

Examiner: Unknown

Filing Date: April 11, 2001

Group Art Unit: 2877

For: ILLUMINATION DEVICE AND METHOD FOR SPECTROSCOPIC ANALYSIS

Docket No.: 1023.1122101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925261032US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 17th day of July, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

Amendment

No additional fee required

The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

[ XX ] A check in the amount of \$130.00 is enclosed.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[ XX ] Other: RESPONSE TO NOTICE TO FILE MISSING PARTS, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS, EXECUTED DECLARATION, LETTER TO OFFICIAL DRAFTSMAN, AND 36 SHEETS OF FORMAL DRAWINGS.

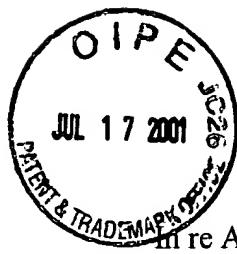
[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.  

By: David M. C  
David M. Crompton

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert D. Johnson

Serial No.: 09/832,586

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2877

For: ILLUMINATION DEVICE AND METHOD FOR SPECTROSCOPIC ANALYSIS

Docket No.: 1023.1122101

LETTER TO OFFICIAL DRAFTSMAN

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By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Please find attached hereto thirty-six (36) sheets of formal drawings for filing in the above-identified application.

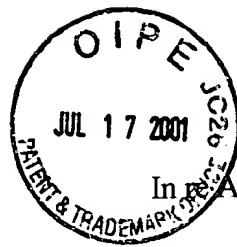
Respectfully submitted,

Robert D. Johnson

By his attorney,

David M. Crompton, Reg. No. 36772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: 7/17/01



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert D. Johnson

Serial No.: 09/832,586

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2877

For: ILLUMINATION DEVICE AND METHOD FOR SPECTROSCOPIC ANALYSIS

Docket No.: 1023.1122101

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925261032US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 17th day of July, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sirs:

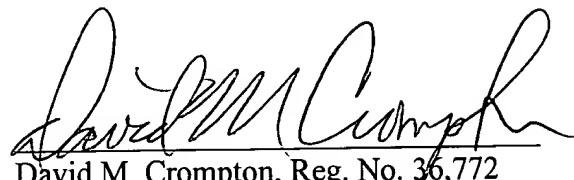
To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, Letter to Official Draftsman with formal drawings, and a check in the amount of \$130 (surcharge). Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Robert D. Johnson

By his attorney,



David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Dated: 7/17/01

2025 RELEASE UNDER E.O. 14176